

## COVID-19

# **Contact Tracing Data Standard**

HISO 10085:2020

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## 1 Introduction

This document is a technical specification for the data sets used to support COVID-19 contact tracing. It is published as a draft standard that will be updated progressively to reflect new requirements as they emerge.

### 1.1 Purpose

This standard has been produced as part of the COVID-19 epidemic response in New Zealand.

The standard is consistent with the Ministry of Health COVID-19 case definitions and contact tracing process description, and forms part of the pandemic minimum data set for COVID-19 in New Zealand.

This standard is intended to assist implementers and their technology partners in interpreting the data requirements for contact tracing. Whilst we will endeavour to maintain consistency with the **current government requirements**, implementers also should ensure that any solutions developed conform to these, regardless of what is captured in this standard.

The general purpose of the standard is to ensure that all data collected and used in the contact tracing process is well-defined, properly structured and coded, and supports interoperability. The initial focus is about supporting the consumer registration process and recording consistent information about customers and visitors to business locations.

The data set specifications provide a technical definition for the information collected and used in contact tracing. The standard is essential reading for implementers and data users of contact tracing systems.

### 1.2 Scope

In this first edition, the standard covers consumer identity and demographic information, contact tracing registers, identification of business premises, location check in and check out, and QR code content.

Over time the standard may grow to encompass a broader set of data requirements. It should not be read as a specification for any one system, integration point or class of app.

We expect the standard will be extended to other aspects of contact tracing, such as data requirements for communication with people in self-isolation.

The requirements are for structured and coded data throughout to maximise data quality and utility for data analysis. The standard includes the data set and code set specifications that solutions should support.

#### 1.3 Definitions

**Contact tracing** is the process used by public health units and the national close contact service to find people who may have been exposed to COVID-19 through contact with a suspect, confirmed or probable case during that person's infectious period.

**Close contact** means a person who has had a **certain level of exposure** to a suspect, confirmed or probable case of COVID-19 during the infectious period.

**Casual contact** refers to any person with exposure to a suspect, confirmed or probable case who does not meet the criteria for a close contact.

#### 1.4 Reference documents

COVID-19 Public Health Response (Alert Level 2) Order 2020
COVID-19 Health and Disability System Response Plan
Initial COVID-19 Māori Response Action Plan
Ministry of Health overview of contact tracing for COVID-19
Contact Tracing App Privacy Impact Assessment
HISO 10082:2020 Community Based Assessment Data Standard

## 1.5 Revision history

21 May 2020	Published as draft standard	
2 June 2020	Updated to reflect changes to the Alert Level 2 order:	
	Remove home address from the contact tracing register data set	
	Add data set for recording attendance at social gatherings	

## 2 Background

In New Zealand, a nationwide state of emergency was imposed in response to the COVID-19 pandemic. Contact tracing is one of the pillars of the public health response to COVID-19, along with border control, testing and case isolation. A comprehensive contact tracing system will enable rapid identification and isolation of new cases and is central to breaking the chain of transmission and eliminating COVID-19.

### 2.1 Contact tracing process

Contact tracing starts with a phone call from the public health unit or national close contact service. The person is provided with advice on self-isolation and their health and wellbeing is checked. The person receives daily follow up calls during the isolation period.

Key to contact tracing is rapidly getting information about the contacts of persons with COVID-19 to identify the source of the infection and make close contacts aware of the risk and the need to be tested and self-isolate.

Records of customers and visitors to business locations are important so that this information can be shared to speed the work of contact tracers.

### 2.2 Contact tracing system

A national contact tracing information system is being introduced to increase the reliability, capacity and scalability of the contact tracing process.

The new system will enable faster access to the correct phone number, email and address details for people who may have come in close contact with COVID-19.

The national contact tracing system will store case and close contact details, and will have links to the NHI system, National Enrolment System, laboratory information systems and public health information sources. As soon as a suspected, probable or confirmed case is detected, the information shared or uploaded can be used by contact tracers to communicate with the affected person and their close contacts.

Any data held about individuals for contact tracing will only be used for this purpose.

## 3 Data set specification

This section provides a templated definition for each data element making up the overall contact tracing data set. This is a collected set of requirements and does not constitute a specification for any one system.

Data element definitions are provided for:

- Consumer identity and demographic information
- Recording business and service location visits
- Recording attendance at social gatherings

#### Data element template

Data element specifications are presented in the following templated form based on ISO/IEC 11179 Information Technology – Metadata Registries (MDR).

Name	Data element name	Data element name		
Definition	A statement that expresses the essential nature of the data element and its differentiation from other elements in the data set			
Source standards	Established data definition	Established data definitions or guidelines pertaining to the data element		
Data type	Alphabetic (A) Date Date/time Numeric (N) Alphanumeric (X) Boolean SNOMED CT identifier (SCTID)	Representational class  Code Date/time Identifier Indicator Text Value Date  tifier		Indicator
Field size	Maximum number of characters	Representational layout	•	
Data domain	The valid values or code Each coded data elemen	·		ment
Obligation	Indicates if the data element is mandatory, recommended, optional or conditional  A recommended data element is not a mandatory requirement  Conditional means use of the data element depends on the context			
Guide for use	Additional guidance to i verification rules	nform the use of the	data element, in	cluding

#### Character sets

Text data elements must accommodate macrons for te reo Māori and diacritic characters for other commonly used languages. By default, this means using the Unicode Basic Latin, Latin-1 Supplement and Latin Extended A character sets.

ISO/IEC 10646:2017 Information technology – Universal Coded Character Set (UCS) is the recognised standard. UTF-8 is the recommended character encoding.

Alphabetic and alphanumeric codes and identifiers are at least restricted to printable Basic Latin characters and normally further.

## 3.1 Consumer identity and demographic information

Consumer identity and demographic information includes name, birth date, gender, ethnicity, phone number, email address and residential address. This is important information for identifying and communicating with people, ensuring equity in service delivery, and for anonymised population health reporting.

The following data elements are defined below:

- Person name
- Birth date
- Gender
- Ethnicity
- Phone number
- Email address
- Home address
- NHI number

#### 3.1.1 Person name

Person name is the name of a natural person. Person name generally consists of a family name or surname, and one or more given names. The name is used to help identify and communicate with the individual.

The government person name data content requirement is to use the NZ Government OASIS CIQ Name Profile to format and exchange person name.

The chosen format for compliance with this requirement is a family name data element and a separate element for each given name. For example, if a person has a family name of Smith and three given names – Te Aroha Mary Anne – then the family name element is Smith, the first given name element is Te Aroha, the second given name element is Mary, and the third given name element is Anne.

Where a person only has a single name, it should be recorded as a family name.

#### Given name

First name, middle name and any other given names are recorded using a separate instance of this data element for each name. The given names are ordered.

Name	Given name			
Definition	First name, middle name or another given name			
NZ Government OASIS CIQ Name Profile Person name data content requirement				
Data type	Alphabetic Representational class Text			
Field size 50 Representational layout		A(50)		
Data domain	The text is case-sensitive and can include spaces, apostrophes and hyphens, as well as macrons and other diacritic characters			
Obligation	Recommended for first name, optional for middle name			
Guide for use	This is a repeated, or	dered element		

#### Family name

Name	Family name			
Definition	Family name or surn	Family name or surname		
Source standards	NZ Government OASIS CIQ Name Profile Person name data content requirement			
Data type	Alphabetic Representational class		Text	
Field size	100	Representational layout	A(100)	
Data domain	The text is case-sensitive and can include spaces, apostrophes and hyphens, as well as macrons and other diacritic characters			
<b>Obligation</b> Recommended				
<b>Guide for use</b> NHI entry will be coded to indicate that a consumer app is the information source			ner app is the information	

#### 3.1.2 Birth date

Birth date is used to help identify the individual. The year of birth may be used for aggregate population reporting.

See the government date of birth content requirement for more information.

Name	Birth date			
Definition	Date of birth of the person			
Source standards	interchange – part	ate and time – representati 1: basic rules content requirement	ons for information	
Data type	Date Representational class		Date	
Field size 8 Represent		Representational layout	YYYYMMDD	

Data domain	Full date
Obligation	Recommended
Guide for use	NHI entry will be coded to indicate that a consumer app is the information source

#### 3.1.3 Gender

Gender is used with name and birth date information to identify the individual. Gender may also be used in aggregate population reporting. Gender is self-identified by the person and may differ from biological sex at birth.

#### Gender code

Name	Gender code			
Definition	A classification of the self-identified gender of the person			
Source standards	HISO 10046:2019 C	onsumer Health Identity S	tandard	
Data type	Alphabetic	Representational class	Code	
Field size	1	Representational layout	A(1)	
Data domain	<ul> <li>F – Female</li> <li>M – Male</li> <li>O – Gender diverse, non-binary or other</li> <li>U – Prefer not to say, not stated</li> </ul>			
Obligation	Optional			
Guide for use	Gender is recorded using the level 1 classification codes of the published <b>gender identity standard</b>			

#### Gender description

A self-identified gender description may be typed in if the person does not identify as male or female.

Name	Gender description			
Definition	Self-identified description of the person's gender			
Source standards	HISO 10046:2019 Consumer Health Identity Standard			
Data type	Alphabetic (A)	Representational class	Text	
Field size	50	Representational layout	A(50)	
Data domain	May be entered if the coded gender classification is not male or female			
Obligation	Optional			
Guide for use				

### 3.1.4 Ethnicity

The person's self-identified ethnicity will be used to ensure the services provided to support faster contact tracing are equitable across the population. Ethnic group is an aggregate population reporting variable.

Name	Ethnic group code			
Definition	Coded classification for an ethnic group self-identified by the person			
Source standards	HISO 10001:2017 E	thnicity Data Protocols		
Data type	Numeric (N) Representational class Code			
Field size	5	Representational layout	N(5)	
Data domain	Use the level 4 codes in the ethnic group code finder  11111 NZ European  21111 Māori  31111 Samoan  32100 Cook Islands Māori  33111 Tongan  34111 Niuean  42100 Chinese  43100 Indian  Any other level 4 code may be selected			
Obligation	Optional	Optional		
Guide for use	Up to six ethnicities may be recorded  Use the standard ethnicity collection question from the Ethnicity Data  Protocols  Codes may be selected directly or derived from a free text description			

#### 3.1.5 Phone number

The current phone number is used to help identify the individual and allow contact to be made with them. There is a phone number verification process.

Name	Phone number			
Definition	The chosen phone number for communication			
Source standards	ITU-T E.164 The international public telecommunication numbering plan			
Data type	Numeric Representational class Identifier		Identifier	
Field size	15	Representational layout	N(15)	
Data domain	International ITU-T E.164 numbers			
Obligation	Recommended			

Guide for use	International ITU-T E.164 numbers are variable length numeric strings without punctuation, composed of country code, area code or mobile network code and subscriber number
	Numbers should be entered, validated and displayed as separate components, eg:  64 4 232nnnn  64 20 412nnnnn

#### 3.1.6 Email address

Email address may be used to identify the individual and for communication. There is an email address verification process.

Name	Email address					
Definition	The chosen email ac	The chosen email address for communication				
Source standards	RFC 5322 Internet	RFC 5322 Internet Message Format				
Data type	Alphanumeric	Alphanumeric Representational class Identifier				
Field size	50	50 Representational layout X(50)				
Data domain	Valid email address	Valid email address in local-part@domain format				
Obligation	Recommended					
Guide for use						

#### 3.1.7 Home address

Home address is recorded to help identify the person and to enable contact with them. If the person is currently staying at a different address to their usual home address, both addresses may be recorded. Current residential address is a recommended data element.

Postcode and/or geographic region derived from the address information may be used in aggregate population reporting.

Use of the Ministry of Health **eSAM address web service** is recommended for address lookup. eSAM combines address and geospatial data from NZ Post, Land Information NZ and Statistics NZ. Users may also choose to enter their address manually or by using other conforming location services.

The government street address data content requirement mandates AS/NZS ISO 19160.1:2018 Addressing Part 1: Conceptual Model as the street address format standard. The standard requires a minimum four data elements: property number or building name, road/street name, locality/suburb name and city/town name. We add country code and province/state name to cater for overseas addresses.

A flag distinguishes the permanent home address from any temporary current address.

See also useful information for people working with addresses (LINZ) and more about addressing and geocoding for health providers and their industry partners.

#### Property number

The first address element is the unique number given to the house, unit or property on the street.

The number can include a unit number prefix or an alpha suffix. A unit number prefix is separated from the property number by a forward slash (eg, 1/21 for unit 1), and an alpha suffix follows the property number immediately and has no separator (eg, 21A).

Name	Property number	Property number			
Definition	Unit number and pro	Unit number and property number on the road/street			
Source standards	Street address data	Street address data content requirement			
Data type	Alphanumeric	Alphanumeric Representational class Text			
Field size	50	Representational layout	X(50)		
Data domain	One line of text, form	One line of text, formatted as described above for property number			
Obligation	Recommended	Recommended			
Guide for use		A street address must include a property number where possible. The exception is where a number is substituted with a building name			

#### **Building name**

The street address may feature a building name instead of the property number.

Name	Building name				
Definition	Building name				
Source standards	Street address data content requirement				
Data type	Alphanumeric Representational class Text				
Field size	50	50 Representational layout X(50)			
Data domain	Recognised building	Recognised building names			
Obligation	Optional				
Guide for use					

#### Road/street name

The name of the road or street should include a full, non-abbreviated road type – road, street, avenue etc. In cases where a road name does not include a road type (eg, The Terrace) the road type may be omitted.

Name	Street name			
Definition	Road or street name			
Source standards	Street address data content requirement			
Data type	Alphanumeric	Alphanumeric Representational class Text		
Field size	100 Representational layout X(100)			
Data domain	Recognised road and street names			

Obligation	Recommended
Guide for use	

#### Locality/suburb name

A locality is a named geographical area or place defining a community of interest and may be rural or urban. Suburbs are urban localities.

Name	Locality name	Locality name			
Definition	Locality or suburb na	Locality or suburb name			
Source standards	Street address data	Street address data content requirement			
Data type	Alphabetic	Alphabetic Representational class Text			
Field size	50	50 Representational layout A(50)			
Data domain	Recognised locality	Recognised locality and suburb names			
Obligation	Recommended	Recommended			
Guide for use					

#### City/town name

Name	City or town name				
Definition	City or town name	City or town name			
Source standards	Street address data	Street address data content requirement			
Data type	Alphabetic	Alphabetic Representational class Text			
Field size	50	50 Representational layout A(50)			
Data domain	Recognised city and	Recognised city and town names			
Obligation	Conditional – city/town is not applicable to some rural addresses				
Guide for use					

#### Country code

Name	Country code			
Definition	Country code for the person's usual country of residence			
Source standards	ISO 3166-1:2013 Codes for the representation of names of countries and their subdivisions – Part 1: Country codes			
Data type	Alphabetic Representational class Code			
Field size	2	Representational layout	A(2)	
Data domain	2-alpha codes from http://www.iso.org/iso/country_codes			
Obligation	Recommended			
Guide for use	Use the code 'NZ' for New Zealand addresses			

#### Province/state name

For overseas home addresses, the name of the province or state may also need to be recorded.

Name	Province/state name			
Definition	Overseas province/state name			
Source standards				
Data type	Alphanumeric Representational class Text			
Field size	100 Representational layout X(100)			
Data domain	Free text			
Obligation	Optional			
Guide for use	Use only for oversea	s addresses		

#### Permanent address indicator

Flag used to distinguish the person's permanent home address from a temporary address where they are staying currently.

Name	Permanent address indicator				
Definition	Whether this is the permanent home address as opposed to a current temporary address				
Source standards	HISO 10046:2019 Consumer Health Identity Standard				
Data type	Boolean	Boolean Representational class Indicator			
Field size	1	1 Representational layout N			
Data domain	True, false	True, false			
Obligation	Recommended				
Guide for use					

#### 3.1.8 NHI number

COVID-19 laboratory test orders and results are recorded with an NHI number. The national contact tracing system will record the NHI number for each person with a positive test result so that they are accurately identified and can be communicated with.

Name	NHI number				
Definition	NHI number				
Source standards	HISO 10046:2019 C	HISO 10046:2019 Consumer Health Identity Standard			
Data type	Alphanumeric	Alphanumeric Representational class Identifier			
Field size	7 Representational layout AAANNNN				
Data domain	Valid NHI number, last digit is check digit				
Obligation	Optional				
Guide for use	NHI numbers are issued by the NHI system  NHI number can be verified using the check digit algorithm in the cited standard				

## 3.2 Recording business and service location visits

Records of customers and visitors to business and service locations are important so that this information can be shared to speed the work of contact tracers. See the published information for businesses and services on **how to collect information to help with contact tracing**.

#### Requirements for businesses and services

For businesses and services, the rules distinguish uncontrolled environments, essentially retail, from controlled environments. In uncontrolled environments, the requirement is to keep contact tracing records for workers. In controlled environments, the requirement is to record details of all people's movement on the premises, including staff, customers and other visitors.

#### Recommendations for consumers

For consumers, this means keeping track of where you've visited. People will be able to use their phone to scan a premises-identifying 2D barcode on entry to some workplaces and other business and service locations. When a COVID-19 case is identified, this information can be used to find people who were at the same location around the same time, making them possible close or casual contacts.

#### Contact tracing register

Businesses and services maintaining their own contact tracing register should record for each person:

- Person name
- Phone number or Email address
- Entry date/time
- Exit date/time.

See the linked data element specifications for details.

#### **OR** code

Organisations can register each of their locations with Business Connect to create a poster with a QR code for display. Customers and visitors to that location can then scan the barcode to capture a record of their visit.

The QR codes format used by Business Connect includes the following data elements:

- Location identifier
- Location name
- Physical address.

See the COVID-19 Contact Tracing QR Code Specification for details.

#### 3.2.1 Location details

Business and other participating organisations should first have a **New Zealand Business Number (NZBN)**. Businesses are then able to record each of their premises as an 'organisation part' in the NZBN register. Each such business location is issued a globally unique 13-digit identifier called a **Global Location Number (GLN)**.

A commonly recognised name for the location is recorded as the organisation part name in the NZBN register. The purpose of the record can be marked as for location tracking. Locations are also recorded with a physical address, as well as phone number and email address details for the operator.

Sub-locations, such as the floors of a building, or different entry/exit points may be recorded in a hierarchy attached to a parent location. Each sub-location is assigned its own GLN and a descriptive name distinguishing it from others at the same address.

Location name examples:

- National Couriers Wellington Branch
- Louie's Lattes Lyall Bay

**Business Connect** is the online platform that enables businesses to enter and upload location details to the NZBN register.

It is recognised that not all business locations will have a GLN. Where a business does have a GLN it must be used.

#### Location identifier

Name	Location identifier		
Definition	Business location or sub-location identifier		
Source standards	NZBN Organisation Part API Use Case Specification		
Data type	Numeric	Representational class	Identifier
Field size	13	Representational layout	N(13)
Data domain	Global Location Number (GLN)		
Obligation	Recommended		
Guide for use	GLN is the primary identifier in the NZBN register for locations and sub- locations		
	Use GLN where it exists		
	The last digit is a check digit – see the <b>GS1 check digit calculator</b>		

#### Location name

Name	Location name		
Definition	Location or sub-location name		
Source standards	NZBN Organisation Part API Use Case Specification		
Data type	Alphanumeric	Representational class	Text
Field size	100	Representational layout	X(100)

Data domain	Free text
Obligation	Mandatory
Guide for use	Maps to NZBN organisation part name, where GLN exists  Used to distinguish an organisation's different locations, or to distinguish one sub-location from another belonging to the same organisation at the same address

#### 3.2.2 Phone number

The visitor's **Phone number** is a recommended data element. Either phone number or email address should be recorded. See the linked data element specification for details.

#### 3.2.3 Email address

The visitor's **Email address** is a recommended data element. Either phone number or email address should be recorded. See the linked data element specification for details.

#### 3.2.4 Entry and exit times

It is important to contact tracing to know when a given visit to a location occurred. The times of entry and exit are recorded, either using the check in and check out functions of an app or by making a record in the venue's own register.

#### Entry date/time

Name	Entry date/time		
Definition	Date and time of the visitor's entry or check-in to the location		
Source standards	ISO 8601 - 1:2019 Date and time – representations for information interchange – part 1: basic rules		
Data type	Date/time	Representational class	Date/time
Field size	14	Representational layout	YYYYMMDD hhmmss
Data domain	Valid date and time (precise to the minute)		
Obligation	Recommended		
Guide for use			

#### Exit date/time

Name	Check out date/time		
Definition	Date and time of the visitor's exit or check-out from the location		
Source standards	ISO 8601 - 1:2019 Date and time – representations for information interchange – part 1: basic rules		
Data type	Date/time	Representational class	Date/time
Field size	14	Representational layout	YYYYMMDD hhmmss
Data domain	Valid date and time (precise to the minute)		

Obligation	Recommended
Guide for use	

#### 3.2.5 Physical address

Physical addresses for business locations should conform to the **street address data content requirement** and have the following components:

- Property number or Building name
- Road/street name
- Locality/suburb name
- City/town name.

NZBN physical addresses also have an ISO 3166-1 country code, which will be set to 'NZ'.

See the linked data element specifications for details.

Further level and unit details may be needed for some business premises, such as for sub-locations in retail outlets or workplaces – eg, 'Shop 4', 'Level 3'. Level and unit data elements, their keywords and formats are defined by the NZ draft profile of AS/NZS ISO 19160.1:2018 Addressing – Part 1: Conceptual Model and AS/NZS 4819:2011 Rural and urban addressing.

In the NZBN, level and unit details will need to be recorded as part of the location name rather than in the address.

Property number and street name elements will be concatenated into the first address line in the NZBN register, while the other address components will map discretely.

Location name and address example:

 Louie's Lattes Lyall Bay 1/1012A Lyall Parade Lyall Bay Wellington

## 3.3 Recording attendance at social gatherings

Social gatherings are defined to be all situations where people are intermingling, other than at a business or a service. See the requirements for **recording attendees at social gatherings**.

Record for each attendee:

- Person name
- Phone number or Email address
- Entry date/time

#### • Exit date/time.

See the linked data element specifications for details. Arrival and departure date/time data elements are equivalent to entry and exit date/time, as defined above.